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# Exhibits to Direct Testimony

Prepared testimony of  
**William B. Marcus**

**JBS Energy, Inc.**  
311 D Street  
West Sacramento  
California, USA 95605  
tel. 916.372.0534

on behalf of/ prepared for (choose one)  
**North Carolina Waste Awareness and Reduction Network**  
**State of North Carolina Utilities Commission**  
**Docket # E 7, SUB 1026**

June 12, 2013

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Exhibit WBM-8	George Sterzinger, "The Customer Charge and Double Allocation of Costs"
Exhibit WBM-9	NCWARN Cost of Service Summary

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**Exhibit WBM-1**  
**Curriculum Vitae of William B. Marcus**

## **William B. Marcus**

Principal Economist,  
JBS Energy, Inc.

William B. Marcus has 30 years of experience in analyzing electric and gas utilities.

Mr. Marcus graduated from Harvard College with an A.B. magna cum laude in economics in 1974 and was elected to Phi Beta Kappa. In 1975, he received an M.A. in economics from the University of Toronto.

In July, 1984, Mr. Marcus became Principal Economist for JBS Energy, Inc. In this position, he is the company's lead economist for utility issues.

Mr. Marcus is the co-author of a book on electric restructuring prepared for the National Association of Regulatory Utility Commissioners. He wrote a major report on Performance Based Ratemaking for the Energy Foundation.

Mr. Marcus has prepared testimony and formal comments submitted to the Federal Energy Regulatory Commission, the National Energy Board of Canada, the Bonneville Power Administration, the U.S. Bureau of Indian Affairs, U.S. District Court in San Diego, Nevada County Municipal Court; committees of the Nevada, Ontario and California legislatures and the Los Angeles City Council; the California Energy Commission (CEC), the Sacramento Municipal Utility District (SMUD), the Transmission Agency of Northern California, the State of Nevada's Colorado River Commission, a hearing panel of the Alberta Beverage Container Management Board; two arbitration cases, environmental boards in Ontario, Manitoba, and Nova Scotia; and regulatory commissions in Alberta, Arizona, Arkansas, British Columbia, California, Colorado, Connecticut, District of Columbia, Hawaii, Manitoba, Maryland, Massachusetts, Nevada, New Jersey, New Mexico, North Carolina, Northwest Territories, Nova Scotia, Ohio, Oklahoma, Ontario, Oregon, South Carolina, Texas, Utah, Vermont, Virginia, Washington, Wisconsin, and Yukon. He testified on issues including utility restructuring, stranded costs, Performance-Based Ratemaking, resource planning, load forecasts, need for powerplants and transmission lines, environmental effects of electricity production, evaluation of conservation potential and programs, utility affiliate transactions, mergers, utility revenue requirements, avoided cost, and electric and gas cost of service and rate design.

From 1975 to 1978, Mr. Marcus was a research analyst at the Kennedy School of Government, Harvard University. He prepared public policy case studies on environmental and transportation issues, benefit-cost analysis, and urban policy and finance for use in classes and publication in the Kennedy School Case Series.

From July, 1978 through April, 1982, Mr. Marcus was an economist at the CEC, first in the energy development division and later as a senior economist in the CEC's Executive Office. He prepared testimony on purchased power pricing and economic studies of transmission projects, renewable resources, and conservation programs, and managed interventions in utility rate cases.

From April, 1982, through June, 1984, he was principal economist at California Hydro Systems, Inc., an alternative energy consulting and development company. He prepared

financial analyses of projects, negotiated utility contracts, and provided consulting services on utility economics.

Mr. Marcus is currently the Chair of the Manufactured Home Fair Practices Commission for the City of Woodland, California. This Commission regulates space rents in the City's mobile home parks. He has served on several other local government advisory committees, including a 1991-92 SMUD Rate Advisory Committee, which recommended cost allocation and rate design changes to the SMUD Board.

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**Exhibit WBM-2**  
**Response to NC WARN Data Request 1-90**

**DUKE ENERGY CAROLINAS, LLC**  
**Response to NC WARN Request**  
**WARN 1-90 thru 1-93**

**Docket No. E-7, Sub 1026**

**Date of Request: 4/15/13**  
**Response Dated: 5/2/13**

**CONFIDENTIAL:** \_\_\_\_\_ **Yes**  
*(Provided Pursuant to Confidentiality Agreement)*

\_\_\_\_\_ **x** \_\_\_\_\_ **No**

**The attached response was consolidated and prepared under my supervision.**

Dean Helton

**Name**

Manager Accounting

**Title**

550 South Tryon St., Charlotte, NC 28202

**Business address**



## **WARN 1-90**

**Request:** Please identify all dues, donations or sponsorships for which for which rate recovery is requested in this case by FERC account. Divide into costs incurred directly by Duke Energy Carolinas and allocated to Duke Energy Carolinas from other entities. Aggregate items under \$250 and identify those over \$250. For individual items in excess of \$2500, provide vouchers or other documentation. For any individual items over \$250 where a portion of the cost is not requested for recovery from ratepayers, identify the total bill, the percentage not requested, and the reason why that particular percentage was not requested for recovery.

**Response:** Dues – See the attached invoices for dues to industry associations. The invoices represent the amounts to be amortized over the next 12 month period. The actual amounts reported represent the dollars amortized over the test period.

Sponsorships – See attached listing of sponsorships and copies of invoices over \$2,500.

The attached information for Dues and Sponsorships reflects the costs incurred by the Company during the test period for which it is seeking recovery.

Donations - donations are recorded in account 0426100, which is not being requested in rate recovery.

## **WARN 1-91**

**Request:** Please identify the cost of any tickets to sporting, cultural, or musical events in the base year 2011 for which rate recovery is requested in this case by FERC account. For any individual item in excess of \$250 in total before allocation, provide invoices or vouchers. Divide into costs incurred directly by Duke Energy Carolinas and allocated to Duke Energy Carolinas from other entities. Explain why the tickets should be charged to ratepayers.

**Response:** Accounting records are not identified in such a way that we can identify cost of any tickets to sporting, cultural, or musical events. Such costs would be recorded in employee expense transactions.

### **WARN 1-92**

**Request:** Is Duke Energy Carolinas requesting rate recovery for dues to any chambers of commerce? If so, please identify the total amount of chamber of commerce dues for which rate recovery is requested. Identify the FERC Accounts where those dues are requested. Separately state funding for national, state, and local chambers. Divide into costs incurred directly by Duke Energy Carolinas and allocated to Duke Energy Carolinas from other entities.

**Response:** Yes, There is approximately \$188,145 of Chamber of commerce dues for which the company is requesting recovery. See the attached listing of dues to chambers of commerce.

### **WARN 1-93**

**Request:** Is Duke Energy Carolinas requesting rate recovery for meetings, meals, event sponsorships, or similar costs payable to any chambers of commerce? If so, please identify the total amount of chamber of commerce payments for which rate recovery is requested. Identify the FERC Accounts where those costs are requested. Separately state funding for national, state, and local chambers. Divide into costs incurred directly by Duke Energy Carolinas and allocated to Duke Energy Carolinas from other entities.

**Response:** These expenses would normally be recorded in account 0426100, which is not included in our request for rate recovery.

Duke Energy Carolinas, LLC  
NC WARN request # 90 - Dues  
For the test year ended June 30, 2012

**Response:**

**Industry Association Dues Summary**

**Account 0930210 - Industry Association Dues**

Edison Electric Institute	\$	1,349,359
Miscellaneous	\$	1,859
	<b>\$</b>	<b>1,351,218</b>

**Account 0524000 - Misc Expense Nuclear Operating**

Institute of Nuclear Power Operations	4,921,676
Nuclear Energy Institute	2,712,247

<b>TOTAL ACCOUNT 0524000</b>	<b>\$</b>	<b>7,633,923</b>
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Note: Totals do not reflect reimbursements from Catawba owners.

<b>Edison Electric Institute (EEI)</b> – The Institute advocates public policy in legislative and regulatory arenas and works to expand markets for members' profitability. EEI provides advocacy, authoritative analysis, and critical industry data to its leader audiences. EEI provides forums for member company representatives to discuss issues and strategies to advance the industry and to ensure a competitive position in a changing marketplace.
<b>Nuclear Energy Institute (NEI)</b> – NEI, with member participation, develops policy on key legislative and regulatory issues affecting the industry. The NEI's objective is to ensure the formation of policies that promote beneficial uses of nuclear energy and technologies in the United States and around the world.
<b>Institute of Nuclear Power Operations (INPO)</b> – INPO's mission is to promote the highest levels of safety and reliability in the operation of nuclear electric generating plants. INPO supports member utilities in their work to provide high quality training for utility personnel and maintain accreditation of training programs.

Duke Energy Carolinas, LLC  
Expenses for Sponsorships  
For the Test Year Ended June 30, 2012

Account ID CB	Fiscal Year	CMD Period Name	Bus Unit ID CB	Oper Unit ID CB	Resp Center ID CB	Resource Type ID CB	Journal ID ID	Journal Line Descr ID	Operator ID ID	Project ID		Process ID CB	Voucher ID ID	Vendor Name	Monetary Amount JD
										Operator ID ID	CB				
913001	2012	January	20049	SECA	3525	99810	BORCATAMT	Advertising Expense - Bobcats		AZENK3		ADVPHO		Sum:	250,000.00 250,000.00
921100	2011	September	20018	616	9904	30000	EXACCT7782	Expense Distribution	284280	FMSRUN		NCSGA		NEW ORLEANS SCHOOL OF	58.00
	2011	October	20018	616	6313	30000	APACR22703	Expense Distribution		FMSRUN		SCSGA		SOUTH CAROLINA MANUFACTURERS ALLIANCE	4,000.00
	2011	November	20018	616	6313	30000	APACR25250	Expense Distribution		FMSRUN		SCSGA		AMERICAN LEGISLATIVE EXCHANGE COUNCIL	2,500.00
921100	2012	February	20018	616	6313	30000	EXACCT6543		276161	FMSRUN		SGA		MIDLANDS AUTHORITY FOR	75.00 6,633.00
									Sum:						
921200	2011	July	20018	616	9904	30000	APACR03798	195LKLPHP220682		FMSRUN		NCSGA		CAPITAL CITY CLUB	128.00
	2011	July	20018	830	1294	30000	APACR04128	196LKLPHP220709		FMSRUN		SGA		CAROLINA BUSINESS COALITION INC	100,000.00
	2011	August	20018	616	6313	30000	APACR06439	Expense Distribution		FMSRUN		SCSGA		SOUTH CAROLINA MANUFACTURERS ALLIANCE	3,132.70
	2011	August	20018	616	9904	30000	APACR08725	DUKE POWER COMPANY		FMSRUN		NCSGA		NORTH CAROLINA CHAMBER	3,000.00
	2011	August	20018	616	9904	30000	APACR10477	LUNCHEON\$Q		FMSRUN		NCSGA		LEADERSHIP NORTH CAROLINA	4,000.00
	2011	September	20018	616	9904	30000	APACR13977	DUKE POWER COMPANY		FMSRUN		NCSGA		NORTH CAROLINA CHAMBER	10,000.00
	2011	October	20018	616	6313	30000	APACR20660	Bronze Sponsor		FMSRUN		SCSGA		SOUTH CAROLINA STATE ASSOCIATION OF	1,000.00
	2011	October	20018	616	6313	30000	APACR21721	MEMBERSHIP FEE		FMSRUN		SCSGA		AMERICAN LEGISLATIVE EXCHANGE COUNCIL	5,000.00
	2011	November	20018	616	9904	30000	APACR30015	327LKLPHP230865		FMSRUN		SGA		REPUBLICAN STATE LEADERSHIP COMMITTEE	25,000.00
	2011	December	20018	613	6313	30000	APACR34555	SPORTSMEN'S CAUCUS		FMSRUN		SCSGA		SC SPORTSMENS CAUCUS	1,250.00
	2011	December	20018	616	6313	30000	APACR34134	BIPEC		FMSRUN		SCSGA		SC BUSINESS AND INDUSTRY POLITICAL	5,000.00
	2011	December	20018	616	6313	30000	APACR34134	PLC		FMSRUN		SCSGA		PALMETTO LEADERSHIP COUNCIL	2,500.00
	2011	December	20018	616	6313	30000	APACR35271	SC HOUSE DEM. CAUCUS		FMSRUN		SCSGA		SOUTH CAROLINA HOUSE DEMOCRATIC CAUCUS	2,500.00
	2011	December	20018	616	6313	30000	APACR35271	SC HOUSE REPUBLICAN CAUCUS		FMSRUN		SCSGA		SC HOUSE REPUBLICAN CAUCUS	2,500.00
	2011	December	20018	616	6313	30000	APACR35271	SC LBC		FMSRUN		SCSGA		SOUTH CAROLINA LEGISLATIVE BLACK CAUCUS	1,750.00
	2011	December	20018	616	6313	30000	APACR35271	SC SENATE REPUBLICAN CAUCUS		FMSRUN		SCSGA		SOUTH CAROLINA SENATE REPUBLICAN CAUCUS	2,500.00
	2011	December	20018	616	6313	30000	APACR35856	SC SENATE DEM. CAUCUS		FMSRUN		SCSGA		SOUTH CAROLINA SENATE DEMOCRATIC CAUCUS	2,500.00
	2011	December	20018	616	9904	30000	APACR33402	ANNUAL MEMBERSHIP DUES		FMSRUN		NCSGA		NORTH CAROLINA BUSINESS COMMITTEE	2,200.00
	2012	January	20018	616	6313	30000	APACR38646	NCSL		FMSRUN		SCSGA		NCSL FOUNDATION FOR STATE LEGISLATURES	1,250.00
	2012	January	20018	616	6313	30000	APACR39964	Expense Distribution		FMSRUN		SCSGA		SOUTH CAROLINA MANUFACTURERS ALLIANCE	1,250.00
	2012	February	20018	616	9904	30000	APACR46931	25LKLPHP235883		FMSRUN		NCSGA		CAPITAL CITY CLUB	132.00
	2012	February	20018	616	9904	30000	APACR49275	55LKLPHP238242		FMSRUN		NCSGA		CAPITAL CITY CLUB	132.00
	2012	March	20018	616	6313	30000	APACR56543	FRIENDS OF SCOUTING	284241	FMSRUN		SGA		PALMETTO COUNCIL	750.00
	2012	March	20018	616	6313	30000	EXACCT3162	69LKLPHP239409		FMSRUN		SCSGA		SOUTH CAROLINA SHOPS	16.05
	2012	March	20018	616	9904	30000	APACR53281	102LKLPHP241753		FMSRUN		NCSGA		CAPITAL CITY CLUB	132.00
	2012	April	20018	616	9904	30000	APACR59651	ECONOMIC DEVELOPMENT EVENT		FMSRUN		SCSGA		CAPITAL CITY CLUB	132.00
	2012	May	20018	616	6313	30000	APACR63794	SPONSORSHIP		FMSRUN		SCSGA		SOUTH CAROLINA MANUFACTURERS ALLIANCE	2,609.51
	2012	May	20018	616	9904	30000	APACR66470		1823	FMSRUN		NCSGA		NORTH CAROLINA LEGISLATIVE BLACK CAUCUS	10,000.00
	2012	May	20018	616	9904	30000	APACR67427		4313	FMSRUN		NCSGA		CAPITAL CITY CLUB	132.00
	2012	June	20018	616	9904	30000	APACR73846		4193	FMSRUN		NCSGA		AMERICAN LEGISLATIVE EXCHANGE COUNCIL	12,500.00
921200	2012	June	20018	616	9904	30000	APACR74708			FMSRUN		NCSGA		CAPITAL CITY CLUB	132.00 203,128.26
									Sum:						
923000	2011	July	20018	616	9904	60004	APACR01652	171LKLPHP218826		FMSRUN		NCSGA		NATIONAL FEDERATION OF INDEPENDENT	5,000.00
	2011	September	20018	616	6313	60004	APACR17664	ANNUAL MEMBERSHIP		FMSRUN		SCSGA		SOUTH CAROLINA SENATE DEMOCRATIC CAUCUS	5,000.00
923000	2012	March	20018	616	9904	30000	APACR55906	82LKLPHP240361		FMSRUN		NCSGA		NATIONAL FEDERATION OF INDEPENDENT	15,000.00
									Sum:						
930230	2012	February	20018	616	6313	30000	APACR47257	DIVERSITY LEADERS INITIATIVE		FMSRUN		SGA		FURMAN UNIVERSITY	3,000.00
930230	2012	June	20018	616	6313	30000	APACR72542	Expense Distribution		FMSRUN		SGA		UTILITIES STATE GOVERNMENT ORGANIZATION	1,280.00 4,280.00
									Sum:						
									Sum:						479,041.26

The charges below are Corporate items, a part of which has been allocated to DE Carolinas as shown:



WARN 90  
B



Edison Electric Institute

Power by Association

701 Pennsylvania Avenue, N.W. Washington, D.C. 20004-2696 Phone (202) 508-5000

## Invoice for Membership Dues

RECEIVED DEC - 8 2011

Route Code :  
DEPPS

DUKE ENERGY  
550 S TRYON ST  
CHARLOTTE, NC 28202

Date	Invoice Number
11/28/2011	DUES201221

Payment due on or before 1/31/2012

Description	Total
2012 EEI Membership Dues for:	
Regular Activities of Edison Electric Institute <sup>1</sup>	\$1,841,276
Industry Issues <sup>2</sup>	184,128
Restore Power <sup>3</sup>	5,000
2012 Contribution to The Thomas Alva Edison Foundation (Edison Foundation), including the Institute for Electric Efficiency <sup>4</sup>	30,000
<b>Total</b>	<b>\$2,060,404</b>

NET "0"

2012 JAN 10

1- The portion of 2012 membership dues relating to influencing legislation, which is not deductible for federal income tax purposes, is estimated to be 26%.

2- The portion of the 2012 industry issues support relating to influencing legislation is estimated to be 36%.

3- Restore Power is related to improvements for the rapid response to disasters. No portion of this assessment is allocable to influencing legislation.

4- The Edison Foundation is an IRC 501(c)(3) educational and charitable organization. The Institute for Electric Efficiency is a program of the Edison Foundation. Contributions are deductible for federal income tax purpose to the extent provided by law. Please consult your tax advisor with respect to your specific situation.

### PLEASE NOTE INFORMATION FOR ELECTRONIC PAYMENT

The following instructions should be used when transferring funds electronically to Edison Electric Institute's account at Wells Fargo Bank:

Beneficiary's Bank: Wells Fargo  
Bank's Address: Washington, DC  
Bank's ABA Number: 121000248  
Beneficiary: Edison Electric Institute  
Beneficiary's Acct No: 2000013842897  
Beneficiary's Address: 701 Pennsylvania Avenue, NW  
Washington, DC 20004-2696 USA  
Beneficiary Reference: 2012 Membership Dues

OU — UTPA  
AIC — 8986  
Process — EEI DUES  
Resource — 69500

Please refer any questions to Terri Oliva, EEI Controller. (202) 508-5541 or Toliva@eei.org

# INPO®

Institute of Nuclear Power Operations

Galleria Parkway, SE, Suite 100

Atlanta, GA 30339-5943

## NUCLEAR - NGO

### INVOICE

Invoice Date 10/21/2011

Invoice ID 894

Amount Due: \$ 5,005,192.00 Page 1


CUSTOMER

SHIP TO

DUKE ENERGY CORPORATION  
P. O. BOX 1006  
CHARLOTTE, NC 28201-1006

Please detach and return this portion with your remittance.

Customer ID	Customer PO No.	Order Date	Shipped Via	FOB
R00011		10/21/2011		
Terms	Due Date	If Paid By	Deduct	Sold By
	1/31/2012		\$ 0.00	

Item No.	Description	Qty	Unit	Unit Price	Discount	Extended Price
1907	2012 MEMBER ASSESSMENT 16 POINTS \$ 312,824.50 per pt. 3 pts. per site 1 pt. per unit 	1.00				\$5,005,192.00 ÷ 12 = 417,099.33 x 3 mos. 1,251,928 To 1

EMIT TO: Institute of Nuclear Power Operations. Attn: Finance Department 700 Galleria Parkway SE. Atlanta, GA 30339-5943 or Wire to SunTrust Bank Atlanta, GA ABA# 061000104 Acct# 8800987516 Swift Code #SNTRUS3A

Printed on 10/31/2011

Subtotal	\$5,005,192.00
Sales Tax	\$0.00
Total	\$5,005,192.00
Total Due	\$5,005,192.00



**Nuclear Energy Institute**

**NEW WIRE PAYMENT INFORMATION**  
**ACH/WIRE (Beginning 10-15-2011)**  
**WELLS FARGO BANK**  
**740 15th Street, N.W.**  
**Washington, DC 20005**  
**Routing # 121000248**  
**Account # 2030000023990**  
**Swift Code: WFB!US6S**

Invoice #: 00113- 12

Invoice Date : 11/22/11

**Duke Energy Corporation**  
**526 South Church Street**  
**Charlotte, NC 28202**

**Membership Dues:** \$2,759,416.00**Foundation Contribution:** \_\_\_\_\_**Amount Enclosed:** \_\_\_\_\_*Detach and return top portion with your payment.*

Invoice #	Terms	Purchase Order#	Invoice Date	Amount
00113- 12	<b>Net Due 01/31/12; otherwise interest will be charged at 7.5% per annum.</b>		11/22/11	
<b>2012 Annual Membership Dues</b>  Pursuant to section 6033(e) of the Internal Revenue Code of 1986, NEI has estimated that 3.5% of NEI's 2012 dues are attributable to lobbying expenses and, therefore, are not deductible as ordinary and necessary business expenses.  Contributions or gifts to the Nuclear Energy Institute are not tax deductible as charitable contributions for federal income tax purposes. However, they may be tax deductible as ordinary and necessary business expenses.				\$2,759,416.00
<b>Voluntary Contribution to the Foundation for Nuclear Studies (FNS)</b>  Contributions to the Foundation for Nuclear Studies (FNS) are deductible as charitable contributions.  If you do not wish to contribute to the FNS, simply deduct the FNS amount from the amount due.				\$10,000.00
<b>Amount Due</b>				\$2,769,416.00  ÷ 12 = 230,784.67 x 3 mos. = 692,354.01
<b>If mailing payment, note mailing address:</b> <b>Nuclear Energy Institute</b> <b>P.O. Box 759072</b> <b>Baltimore, MD 21275-9072</b>  <b>Nuclear Energy Institute, Inc.</b> <b>Telephone (202) 739-8000</b> <b>NEI T.I.N. 52-1209124</b>				



When 9

BILL TO
Duke Energy SC

INVOICE #
49

DATE
8/3/2011

Exhibits to Direct Testimony of William B. Marcus  
STATE OF NORTH CAROLINA UTILITIES COMMISSION DOCKET NO. E 7, SUB 1026

American Legislative Exchange Council  
1101 Vermont Ave., NW, 11th Floor  
Washington, DC 20005

Federal Tax ID# 52-0140979

Invoice No.

# INVOICE

Bill To: L

Director, Strategy & Planning-SC  
Duke Energy Corporation  
1201 Main Street  
Suite 1180  
Columbia, SC 29201

Account No.  
160540

Terms

Invoice Date  
10/24/11

Description	Amount Due
2011 ALEC States and Nation Policy Summit Sponsorship	12,500.00

**Total: \$12,500.00**

COREX EC

Carolina Business Coalition, Inc

PO Box 19581  
Raleigh, NC 27619  
919-782-5292

# Invoice

Date	Invoice #
7/11/2011	2

0830 1294 SGA

Bill To
Duke Energy Attention: _____

**NET "0"**

Description	Amount
Charter Dues for 2011	100,000.00
<div>RECEIVED JUL 15 2011 CORPORATE AP</div>	
<b>Total</b>	<b>\$100,000.00</b>

Walter S. Montgomery, Sr. Bldg.  
1340 Bull Street  
Columbia, SC 29201  
(803) 799-9695

INVOICE #
47

DATE
7/27/2011

Exhibits to Direct Testimony of William B. Marcus  
STATE OF NORTH CAROLINA UTILITIES COMMISSION DOCKET NO. E 7, SUB 1026

DPITENEC



**North Carolina  
Chamber**  
A force for business.

**Invoice**

Invoice No. 18598

701 Corporate Center Drive, Suite 400, Raleigh, NC 27607

Duke Energy Corporation  
526 S Church Street  
ECO2XI/POBox 1006  
Charlotte, NC 28201

Federal ID: #56-0340499  
Date: 08/10/2011  
Member ID: 454

DESCRIPTION	AMOUNT
Gold Sponsor, 3rd Annual Environmental Management Conference (2011)	\$3,000.00
<b>Grand Total:</b>	<b>\$3,000.00</b>

The NC Chamber presents the Inaugural Workers' Compensation Conference on August 25, 2011.  
Please check [www.ncchamber.net](http://www.ncchamber.net) for more information.

If you have questions about your invoice please call Sandy Harrell at (919) 836-1421.

**RECEIVED**

AUG 11 2011

**CORPORATE AP**

Member ID: 454

Duke Energy Corporation  
526 S Church Street  
ECO2XI/POBox 1006  
Charlotte, NC 28201

Gold Sponsor, 3rd Annual  
Environmental Management  
Conference (2011)

Payment Enclosed:

\$ \_\_\_\_\_

\*please verify address

Make checks payable to:  
North Carolina Chamber  
701 Corporate Center Drive, Suite 400  
Raleigh, NC 27607

or fax to (919) 836-1425

or charge my:

☐ VISA ☐ Mastercard ☐ AmEx

Exp. Date: \_\_\_\_\_

Name: \_\_\_\_\_

Invoice Number: 18598

Due Date: 09/01/2011

Total Due: \$3,000.00

Please select your area(s) of interest:	
<input type="checkbox"/> Education & Workforce Dev.	<input type="checkbox"/> Legal Climate and Tort Reform
<input type="checkbox"/> Healthcare	<input type="checkbox"/> Environmental
<input type="checkbox"/> Infrastructure	<input type="checkbox"/> Tax Policy
<input type="checkbox"/> Labor and Workplace Issues	<input type="checkbox"/> Workers' Compensation
<input type="checkbox"/> Other: _____	



# TUITION INVOICE

## LEADERSHIP North Carolina

Engaging Leaders Throughout North Carolina

2700 Wycliff Road, Suite 402  
Raleigh, North Carolina 27607  
Phone: 919.803.5323 Fax: 919.803.5402  
Tax ID # 56-1757109  
[www.leadershipnc.org](http://www.leadershipnc.org)

#1925  
DATE: JULY 26, 2011

**BILL TO** Duke Energy  
3700 Glenwood Avenue  
Suite 330  
Raleigh, NC 27612

DATE	DESCRIPTION				TUITION AMOUNT	REMAINING BALANCE
7/26/11	Class XIX Tuition for Alan Jones 4010 – Tuition				\$4,000.00	\$4,000.00
	Total Due by September 1, 2011 to avoid cancellation					2011 AUG 2 PM 1 35
CURRENT	1-30 DAYS PAST DUE	31-60 DAYS PAST DUE	61-90 DAYS PAST DUE	OVER 90 DAYS PAST DUE	AMOUNT DUE	
					\$4,000.00	

REMITTANCE	
Statement #	1925
Date	
Amount Due	\$4,000.00
Amount Enclosed	

Make all checks payable to LEADERSHIP North Carolina  
**THANK YOU FOR YOUR SUPPORT!**

# NET "0"

DPITE422



**North Carolina  
Chamber**  
A force for business.

701 Corporate Center Drive, Suite 400, Raleigh, NC 27607

## Invoice

Invoice No. 17766

Duke Energy Carolinas  
3700 Glenwood Avenue  
Suite 330  
Raleigh, NC 27612

Federal ID: #56-0340499  
Date: 09/07/2011  
Member ID: 454

DESCRIPTION	AMOUNT
Political Education	\$10,000.00
Grand Total:	\$10,000.00

The NC Chamber presents the 3rd Annual Environmental Management Summit September 29, 2011.  
Please check [www.ncchamber.net](http://www.ncchamber.net) for more information.

If you have questions about your invoice please call Carol Mills at (919) 836-1409.



2011 SEP 7 PM 2 44

Member ID: 454

Political Education

Duke Energy Corporation  
526 S Church Street  
EC02XI/POBox 1006  
Charlotte, NC 28201

Payment Enclosed:

\*please verify address

\$ \_\_\_\_\_

Makes checks payable to:  
North Carolina Chamber  
701 Corporate Center Drive, Suite 400  
Raleigh, NC 27607

or fax to (919) 836-1425

or charge my:

☐ VISA ☐ Mastercard ☐ AmEx

Exp. Date: \_\_\_\_\_

Name: \_\_\_\_\_

Invoice Number: 17766

Due Date: 10/01/2011

Total Due: \$10,000.00

Please select your area(s) of interest:	
<input type="checkbox"/> Education & Workforce Dev.	<input type="checkbox"/> Legal Climate and Tort Reform
<input type="checkbox"/> Healthcare	<input type="checkbox"/> Environmental
<input type="checkbox"/> Infrastructure	<input type="checkbox"/> Tax Policy
<input type="checkbox"/> Labor and Workplace Issues	<input type="checkbox"/> Workers' Compensation
<input type="checkbox"/> Other: _____	

**Invoice**

Customer ID: 031780  
Invoice Number: 003160  
Invoice Date: 10/13/2011

Duke Energy Corporation  
1201 Main Street, Suite 1180  
Columbia, SC 29201

**Please remit payment to:**

American Legislative Exchange Council  
1101 Vermont Avenue, NW  
11th Floor  
Washington, DC 20005

Order Tracking #003220 - 031780

**Credit Card Payment Information**

Balance Due **\$10,000.00**

Credit Card Number: \_\_\_\_\_

Card Type: \_\_\_\_\_ Exp Date: \_\_\_\_/\_\_\_\_ CVV#: \_\_\_\_

Name On Card: \_\_\_\_\_

Signature: \_\_\_\_\_

Total Payment Amount **\$**

Ms. Bonnie Drake Loomis  
Duke Energy Corporation  
1201 Main Street, Suite 1180  
Columbia, SC 29201

Customer ID: 031780  
Invoice Number: 003160  
Invoice Date: 10/13/2011

Item	Misc Product Notes	Unit Price	Quantity	Amount
Private Sector Member		\$7,000.00	1	\$7,000.00
Term: 10/13/2011 - 10/12/2012				
Energy, Environment & Agriculture Task Force Membership		\$3,000.00	1	\$3,000.00
Term: 10/13/2011 - 10/12/2012				
Subtotal				\$10,000.00
Invoice Total				\$10,000.00
Balance Due				\$10,000.00



RECEIVED

NOV 23 2011

CORPORATE AP



DPITEKZ  
Make check to:  
Republican Legislative  
Campaign Committee

## 2011 MEMBERSHIP BENEFITS

MEMBERSHIP RUNS ON A 12-MONTH CYCLE FROM DATE OF RECEIPT OF INITIAL CONTRIBUTION.

### RLCC CAPITAL CLUB

(\$50,000 ANNUAL CONTRIBUTION)

- Member may send 5 representatives to the RLCC National Meeting and State Leadership Events
- RSLC Capital Club Retreat (Kiawah Island, SC - September 25-27, 2011)  
*Members who contribute \$50,000 cumulatively to the RSLC are invited*
- RLCC National Meeting
  - Capital Club-only event
  - Capital Club and Cloak Room-only Private Dinners
  - Opportunity to submit Issue Briefing topics and to be a panelist at the National Meeting
  - Listing as an overall RLCC National Meeting Sponsor
  - National Meeting Conference Fees are waived
- Annual opportunity to lead private briefings with Legislative Leaders during RLCC events
- In-person Political Update with RSLC Political Director

- Total Amount  
of \$50,000.  
(per Management)

### RLCC CLOAK ROOM

(\$25,000 ANNUAL CONTRIBUTION)

- Member may send 4 representatives to the RLCC National Meeting and State Leadership Events
- RLCC National Meeting
  - Cloak Room-only Private Dinners
  - Opportunity to submit Issue Briefing topics and to be a panelist at the National Meeting
  - National Meeting Conference Fees are waived
- Annual opportunity to lead private briefings with Legislative Leaders during RLCC events
- In-person Political Update with RSLC Political Director

### RLCC LEADERSHIP BOARD

(\$15,000 ANNUAL CONTRIBUTION)

- Member may send 3 representatives to the RLCC National Meeting and State Leadership Events
- RLCC National Meeting
  - Opportunity to participate as an Issue Briefing Panelist
- Political Update Conference Call with RSLC Political Director

### RLCC SPEAKER'S CAUCUS

(\$10,000 ANNUAL CONTRIBUTION)

- Member may send 2 representatives to the RLCC National Meeting and State Leadership Events
- RLCC National Meeting
- Political Update Conference Call with RSLC Political Director

For more information, please contact Erin Veltman, Director, RLCC,  
by phone at (571) 480-4865 or by email at [E.Veltman@rslc.com](mailto:E.Veltman@rslc.com).

Contributions or gifts to the Republican State Leadership Committee (RSLC) and its affiliated state PACs are not tax deductible. Contributions may be used for activities of the RSLC and its affiliated state PACs at the RSLC's sole discretion. The RSLC does not accept earmarked contributions. Contributions from foreign nationals are not solicited or permitted.

Republican Legislative Campaign Committee ★ 1800 Diagonal Road ★ Suite 250 ★ Alexandria, VA 22314  
Phone: 571.480.4860 ★ [www.RSLC.com](http://www.RSLC.com) ★ Fax 571.480.4890

→ Vendor information



**South Carolina Business & Industry  
Political Education Committee**

*"Promoting and protecting the free, private and competitive enterprise system through research and education."*

**Federal Tax ID #57-0809723**

**Invoice**

**TO:** NAME: [REDACTED]  
TITLE: [REDACTED]  
FIRM: Duke Energy Corporation  
ADDRESS: 325 West McBee Avenue  
Greenville, SC 29601  
EMAIL: catherine.heigel@duke-energy.com  
PHONE: 864-370-5220  
MOBILE:  
FAX: 864-370-5183

**FROM:** Thomas O. DeLoach  
President/CEO

**DATE:** December 13, 2011

**RE:** FY 2011-12 Membership Investment and  
26<sup>th</sup> Annual Meeting Sponsorship

---

**FY 2011-12 Membership Investment Dues:** \$5,000.00  
(Renewal Period: July 1, 2011 – June 30, 2012)

**26<sup>th</sup> Annual Meeting Sponsorship:** \$5,000.00

**Total:** \$10,000.00

---

*SC BIPEC uses your Membership Investment for research and educational purposes as a 501(c)(6). None of your Membership Investment is used to support candidates for office, campaign committees or lobbying activities.*

---

1301 Gervais Street, Suite 901 • Columbia, SC 29201  
Phone (803) 254-0476 • Fax (803) 256-2525 • Email [deloach@scbipec.com](mailto:deloach@scbipec.com)

Visit Political Parade, the daily news digest (members only) [www.scbipec.com](http://www.scbipec.com)  
Please update contact information on the form as needed.



Post Office Box 8883 | Columbia, SC 29202  
803.748.0338 | 803.748.0299 Fax  
EIN# 41-2038380  
[www.palmettoleadershipcouncil.org](http://www.palmettoleadershipcouncil.org)

## INVOICE

Duke Energy Corporation  
ATTN: J  
422 South Church Street  
PBO5C  
Charlotte, NC 28202

EXECUTIVE DIRECTOR	FEDERAL TAX ID	DATE	DUE DATE
India Hazzard	41-2038380	12/6/11	January 2012

DESCRIPTION	TOTAL
2012 Palmetto Leadership Council Sponsorship	\$5,000
<b>TOTAL AMOUNT DUE:</b>	<b>\$5,000</b>

THANK YOU FOR YOUR SUPPORT!

South Carolina House Democratic Caucus

PO Box 12049  
Columbia, SC 29211

# Invoice

Date	Invoice #
12/8/2011	352

<b>Bill To</b>
Duke Energy PO Box 37929 Charlotte, NC 28237

<b>Ship To</b>
PO Box 37929 Charlotte, NC 28237

P.O. Number	Terms	Rep	Ship	Via	F.O.B.	Project
			12/8/2011			
Quantity	Item Code	Description			Price Each	Amount
1	Business Round Ta...				3,500.00	3,500.00
1	Lunch Sponsorship				1,500.00	1,500.00
					<b>Total</b>	<b>\$5,000.00</b>

# The Republican Caucus

**Kenneth A. Bingham**  
Majority Leader

**Bruce W. Bannister**  
Asst. Majority Leader

**Charles M. Cannon**  
Executive Director



## House of Representatives State of South Carolina

518 Blatt Building  
Columbia, SC 29211

(803) 734-3139 Tel.  
(803) 734-3138  
(803) 734-9953 Fax

[www.schousegop.com](http://www.schousegop.com)

### S.C. HOUSE REPUBLICAN CAUCUS INVOICE

December 8, 2011

#### BILL TO:

**Duke Energy**

DESCRIPTION:	AMOUNT: \$5,000
2012 Business Roundtable Membership	\$3,500
Event Sponsorship	\$1,500

#### PLEASE REMIT PAYMENT TO:

S.C. REPUBLICAN HOUSE CAUCUS  
POST OFFICE BOX 21  
COLUMBIA, SOUTH CAROLINA 29202

**FEDERAL EIN: 56-2123751**

# SC SENATE REPUBLICAN CAUCUS

## 2012 MEMBERSHIP BENEFITS

DATE  
December 12, 2011

Duke Energy Carolinas, LLC  
Attention:  
Post Office Box 1006  
Charlotte, North Carolina 28201

### DESCRIPTION

### AMOUNT

☐ **Chairmen's Circle\***

\$5,000.00\*

Two invitations to private event with Senate Committee Chairmen  
Two invitations to attend caucus events  
Donor recognition at all caucus events  
*\*Funds will go to the SCSRC Operating Account*

☐ **Leader's Circle**

\$3,500.00

One invitation to attend most caucus events  
Donor recognition at all caucus events

South Carolina Senate Republican Caucus  
Post Office Box 12012  
Columbia, South Carolina 29211  
(803) 212-1041 Telephone  
[mark@scsenategop.com](mailto:mark@scsenategop.com)  
[www.scsenategop.com](http://www.scsenategop.com)

**Federal ID: 57-1104282**

**DUE UPON RECEIPT**

December 8, 2011

Duke Energy  
Columbia, SC

E-Mail Transmission

Invoice for Membership (2012)

Annual Membership

\$5,000.00

Please make payable to:

*SC Senate Democratic Caucus*  
*P.O. Box 11484*  
*Columbia, SC 29211*

Tax ID # 57-0935821

Thank you so much!

For more information, contact Bob Wislinski (803.783.6417) or  
wizworks@mindspring.com for the SC Senate Democratic Caucus

Walter S. Montgomery, Sr. Bldg.  
1340 Bull Street  
Columbia, SC 29201  
(803) 799-9695

BILL TO

Duke Energy Corp.

1201 Main Street, Suite 1180

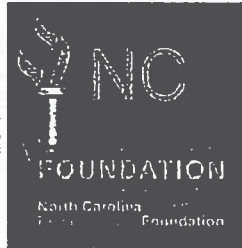
Columbia, SC 29201

INVOICE #
63

DATE
4/23/2012

[illegible]





MAY 14 PM 3 21

DPITELCC

May 14, 2012

**Duke Energy**

Duke Energy Carolinas, LLC,  
3700 Glenwood Avenue  
Suite 330  
Raleigh, NC 27612

Contribution Amt: \$10,000<sup>00</sup>  
Check payable to:  
NC Legislative Black Caucus

Dear Ms. Hawkins,

The North Carolina Legislative Black Caucus Foundation is hosting its 26<sup>th</sup> Annual Scholarship weekend on Friday June 15 at the Sheraton Imperial Hotel and Convention Center, Research Triangle Park, Durham, North Carolina. Our Annual Scholarship Banquet is scheduled for 7:00 pm on Friday evening. Registration will open at noon and an afternoon issues forum/session will be held from 1:00 pm-4:00 pm with a VIP Reception at 5:30 pm.

The North Carolina Legislative Black Caucus Foundation has been pleased and is immensely grateful to Duke Energy Carolinas, LLC for your continuous support for the past several events. We are respectfully requesting your support as a platinum sponsor at the \$10,000 level for the 2012 event.

Our theme this year "*Continuing Our Investment in Promising Futures*" acknowledges our ongoing commitment to students attending North Carolina's Historically Black Colleges and Universities and our desire to increase their ability to access a college education. Students attending these colleges historically accumulate greater debt ratios compared to students at other schools.

Our goal as a foundation continues to be one of helping to make college affordable by providing scholarships to students attending these schools. Funds provided by the foundation are only awarded to North Carolina students and are issued directly by each institution.

***Continuing the Investment in Promising Futures: Scholarship | Education | Success***

North Carolina Legislative Black Caucus Foundation  
Post Office Box 27862 Raleigh, North Carolina 27611-7862  
919-628-0624 [www.NCLBCE.org](http://www.NCLBCE.org)



We hope that Duke Energy Carolinas, LLC will be able to support our program this year and help us make college affordable to deserving students to enhance their lives. I look forward to hearing from you.

\*Please see below what your level of sponsorship will receive:

**Platinum Sponsor - \$10,000**

**Two (2) Tables of 10 at the Scholarship Dinner**

- One (1/2 page) Color AD in the Electronic Sponsor Presentation (Please forward all ads to Brad Thompson and Associates at [bttsr@aol.com](mailto:bttsr@aol.com))
- Listing in the Printed Scholarship Dinner program
- Corporate logo visibility
- Opportunity to provide guests at the Critical Issues Session and the Scholarship Dinner with promotional items that market your company.

Sincerely,

Rep. Alma Adams, PhD  
Chair, North Carolina Legislative Black Caucus Foundation

***Continuing the Investment in Promising Futures: Scholarship | Education | Success***

North Carolina Legislative Black Caucus Foundation  
Post Office Box 27862 Raleigh, North Carolina 27611-7862  
919-628-0624 [www.NCLBCF.org](http://www.NCLBCF.org)

COZEXEC

AMERICAN LEGISLATIVE EXCHANGE COUNCIL

ALEC

03/09/2012

## Invoice

Customer ID: 031780  
 Invoice Number: 020850  
 Invoice Date: 03/09/2012

50% 0616 9904 NCS6A

50% 8310 8310 DONATE  
2012 JUN 18 AM 7 41

Duke Energy Corporation  
 5400 Westheimer Court  
 Houston, TX 77251-1642

## Please remit payment to:

American Legislative Exchange Council  
 1101 Vermont Avenue, NW  
 11th Floor  
 Washington, DC 20005  
 United States  
 (P) (202) 742-8427  
 (F) (202) 466-3801

Order Tracking #021510 - 031780

## Credit Card Payment Information

Balance Due \$50,000.00

Credit Card Number. \_\_\_\_\_

Card Type: \_\_\_\_\_ Exp Date: \_\_\_\_/\_\_\_\_ CVV# \_\_\_\_\_

Name On Card: \_\_\_\_\_

Signature. \_\_\_\_\_

Total Payment Amount \$

Duke Energy Corporation  
 5400 Westheimer Court  
 Houston, TX 77251-1642  
 U.S.A.

Customer ID: 031780  
 Invoice Number: 020850  
 Invoice Date: 03/09/2012

Item	Misc Product Notes	Unit Price	Quantity	Amount
2012 Spring Task Force Summit Sponsorship		\$50,000.00	1	\$50,000.00
Subtotal				\$50,000.00
Invoice Total				\$50,000.00
Balance Due				\$50,000.00

DPITEXEZ

**NFIB**

The Voice of Small Business®

**INVOICE** # 06152014500000 NF

**TO:** Duke Energy  
3700 Glenwood Avenue  
Suite 330  
Raleigh, NC 27612  
Attention: !

**DATE:** June 15, 2011

**DESCRIPTION OF CHARGES:**

Sponsorship of non-legislative NFIB member activism programs.

**TOTAL:** \$5,000

**Please remit to:**

NFIB/NC  
150 Fayetteville Street, Suite 1110  
Raleigh, NC 27601  
Attention: Karen Crockett  
Telephone: (919) 833-9480  
Fax: (919) 833-9497  
Federal TIN#: 94 0707299

**Please make checks payable to NFIB/NC.**

**Thank you.**

If you have questions regarding this invoice,  
please contact Karen Crockett at the NFIB/NC office, 919-833-9480 or [karen.crockett@nfib.org](mailto:karen.crockett@nfib.org)

2011 JUN 20 PM 11 51

September 23, 2011

Duke Energy  
Columbia, SC

E-Mail Transmission

Invoice for Membership (2011-12)

Annual Membership

\$5,000.00

Please make payable to:

*SC Senate Democratic Caucus  
P.O. Box 11484  
Columbia, SC 29211*

Tax ID # 57-0935821

Thank you so much!

For more information, contact Bob Wislinski (803.783.6417) or  
[wizworks@mindspring.com](mailto:wizworks@mindspring.com) for the SC Senate Democratic Caucus

# NFIB

The Voice of Small Business.

DPITEYER

## INVOICE

inv#

03022012 \$5000.00 NF

**TO:** Duke Energy  
3700 Glenwood Avenue  
Suite 330  
Raleigh, NC 27612  
Attention:

**DATE:** March 2, 2012

### DESCRIPTION OF CHARGES:

Sponsorship of non-legislative NFIB member activism programs.

**TOTAL:** \$5,000

### Please remit to:

NFIB/NC  
150 Fayetteville Street, Suite 1110  
Raleigh, NC 27601  
Attention: Karen Crockett  
Telephone: (919) 833-9480  
Fax: (919) 833-9497  
Federal TIN#: 94 0707299

2012 MAR 22 PM 2:38

Please make checks payable to NFIB/NC.

Thank you.

If you have questions regarding this invoice,  
please contact Karen Crockett at the NFIB/NC office, 919-833-9480 or [karen.crockett@nfib.org](mailto:karen.crockett@nfib.org)

# Diversity Leaders Initiative

Diversity Leaders Initiative  
Riley Institute at Furman  
3300 Poinsett Highway  
Greenville, South Carolina 29613  
864.294.3253

Duke Energy  
1201 Main Street, Suite 1180  
Columbia, SC 29201

**INVOICE # 64356:**

Invoice Amount: \$3,000

Invoice Date: January 19, 2012

**DUE: January 30, 2012**

**Description:** Tuition for Diversity Leaders Initiative Midlands Class V: Spring 2012

**Refund Policy:**

No refund can be given within 14 days of the class start date

Transfer of tuition to next immediate class if requested by February 8, 2012

100% refund if written withdrawal notice is received by January 25, 2012

**Please make your check payable to "Furman University" and mail to:**

Cindy Youssef  
Diversity Leaders Initiative  
Riley Institute at Furman  
3300 Poinsett Highway  
Greenville, South Carolina 29613

**Please enclose a copy of this invoice with your check.**



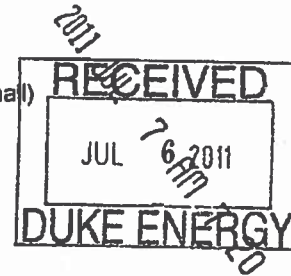
The Riley Institute<sup>®</sup> at Furman | [www.rileyinstitute.org](http://www.rileyinstitute.org)

*in partnership with Wachovia/Wells Fargo Company, Greenville Hospital System University Medical Center,  
Michelin, N.A., Spartanburg Regional, AuMed Health, Palmetto Health, SCR A and SCBIZ*



**Marshall, Beverly K**

**From:** cspencer@cewd.org  
**Sent:** Wednesday, June 29, 2011 12:16 PM  
**To:** Marshall, Beverly K  
**Cc:** cspencer@eei.org  
**Subject:** Purchase Confirmation No. 3608390 (Ms. Beverly K. Marshall)



Dea



CEWD is a 501(c)(3) - Contributions to the Center for Energy Workforce Development (CEWD) are fully deductible as charitable contributions for Federal income tax purposes and may be made by all taxpayers, including individuals, corporations and private foundations.

Date/Time: 6/29/2011 12:16 PM

Purchased By:

Customer ID: 2834460  
(Organization: Duke Energy)  
(202) 331-8090  
[beverly.marshall@duke-energy.com](mailto:beverly.marshall@duke-energy.com)



Your confirmation number is: 3608390 Please keep this number for any references.

Shopping Cart Items	Amount	Quantity	Total
2011 July Troops to Energy Jobs Press Luncheon Main Registration - Badge Name: Beverly Event	\$10,000.00	1	\$10,000.00
Subtotal			\$10000.00
Taxes			\$0.00
Shipping			\$0.00
Invoice Total			\$10000.00
Grand Total			\$10000.00
Payment			\$0.00
Order Balance			\$10000.00

**Shipping & Billing Information**

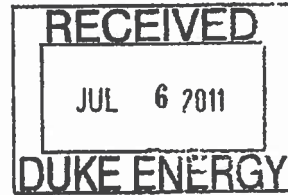
**Billing Address:**  
**Duke Energy**  
526 S Church St  
Charlotte NC 28202-1802





# BIPAC ANALYSIS INVOICE

June 29, 2011



Vice President, Federal Government Affairs  
Duke Energy Corporation  
325 7th St NW Suite 300  
Washington, DC 20004

~~IMAGE  
ROUTE CODE:  
GOVTAF~~

IMAGE  
ROUTE CODE:  
GOVTAF

---

## INVOICE

FEDERAL GOVERNMENT AFFAIRS  
APPROVED BY: [Signature]  
DATE: 7/6/11

Annual investment in BIPAC's Institute for Political Analysis

\$5,000

December 2010 through November 2011

*Federal Tax ID # is 13-1985476*

2011 JUL 7 AM 7 21

Make checks payable to \_\_\_\_\_

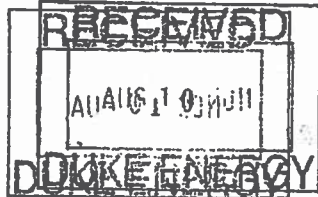
BUSINESS INSTITUTE FOR POLITICAL ANALYSIS  
888 Sixteenth Street, NW, Washington, DC 20006

888 16<sup>th</sup> Street, NW, Suite 305 Washington, D.C. 20006-4103



# 34<sup>TH</sup> ANNUAL KENNEDY CENTER HONORS WEEKEND

December 3<sup>rd</sup> & 4<sup>th</sup>, 2011



## SCHEDULE OF EVENTS

IMAGE  
ROUTE CODE:  
GOVTAFF  
*tax id #*

53-0245017

**SATURDAY, DECEMBER 3, 2011**

**12:00 Noon Chairman's Luncheon with Honorees**

South Gallery, Kennedy Center

*An invitation will be sent under separate cover*

**SUNDAY, DECEMBER 4, 2011**

**7:00 PM Kennedy Center Honors Gala**

Kennedy Center Opera House

*Supper immediately following the performance*

*Grand Foyer*

FEDERAL GOVERNMENTAL AFFAIRS  
APPROVED BY: *[Signature]*  
DATE: 8/10/11

**To process your Honors ticket order, please return the enclosed form and payment to the attention of Kendall Brodarick at the Kennedy Center no later than September 22, 2011.**

*If you have any questions, please contact Kendall Brodarick, Manager, Institutional Giving at (202) 416-8060*

2011 AUG 10 PM 4

THE KENNEDY CENTER HONORS GALA  
ADVANCE REGISTRATION FORM

GOVT AFF  
tax id # 53-0245017  
NET 44

Name: [REDACTED]  
Title: VP, Federal Policy + Govt Affairs Company: DUKE ENERGY  
Address: 325 Seventh St NW #300  
City: Washington State: DC Zip: 20004  
Phone: 202-331-8090 Fax: 202-331-1181 E-mail: BSEWERLY.MARS.HALL@DUKE-ENERGY.COM

Payment Method:

Check enclosed (made payable to "Kennedy Center Honors")

AMEX MasterCard Visa

Credit Card #: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

Name on Card: \_\_\_\_\_

SUNDAY, DECEMBER 4, 2011  
7:00 PM The Kennedy Center Honors Gala  
Kennedy Center Opera House

**TOTAL**  
**\$18,000**

**TOTAL TICKET ORDER IS LIMITED TO 4 SEATS** (subject to availability)

Note: Theater seating for orders of seven or more tickets will be split

- |  |  |
|--|--|
| _____ Box of 6 seats:                          | \$45,000 per box, which includes the Gala Supper Dance following the performance. (Six seats per box. One four or six box total per donor.)<br>Estimated tax-deductible portion: \$43,200  |
| _____ Box of 4 seats:                          | \$35,000 per box, which includes the Gala Supper Dance following the performance. (Four seats per box. One four or six box total per donor.)<br>Estimated tax-deductible portion: \$33,800 |
| <u>2</u> _____ Orchestra Seats:<br>(rows B-Z)  | \$5,500 per seat, which includes the Gala Supper Dance following the performance<br>Estimated tax-deductible portion: \$5,200  |
| _____ Orchestra Seats:<br>(rows AA-HH)         | \$2,500 per seat, which includes the Gala Supper Dance following the performance<br>Estimated tax-deductible portion: \$2,230  |
| <u>2</u> _____ First Tier Seats:<br>(rows A-B) | \$3,500 per seat, which includes the Gala Supper Dance following the performance<br>Estimated tax-deductible portion: \$3,200  |
| _____ First Tier Seats:<br>(rows C-G)          | \$2,000 per seat, which includes the Gala Supper Dance following the performance<br>Estimated tax-deductible portion: \$1,755  |
| _____ Second Tier Seats:<br>(row A)            | \$2,000 per seat, which includes the Gala Supper Dance following the performance<br>Estimated tax-deductible portion: \$1,730  |
| _____ Second Tier Seats:<br>(rows B-G)         | \$400 per seat for the performance only<br>Estimated tax-deductible portion: \$315   |

A check or credit card number for payment of your tickets must be received by September 22, 2011.  
If sending payment overnight, please forward to: Kendall Brodarick, Manager, Institutional Giving  
2700 F Street, NW  
Washington, DC 20566  
If sending payment by US Mail, forward to: Kendall Brodarick, Manager, Institutional Giving  
P.O. Box 101510  
Arlington, Virginia 22210  
All payments will be processed at the time of your order. We will make every attempt to fulfill your total order; however, if this is not possible, we will refund any remainder.

RETURN FAX NUMBER: (202) 416-4881  
For additional information, please call Kendall Brodarick at (202) 416-8060.

CEO STAFF



**DGA**

DEMOCRATIC GOVERNORS' ASSOCIATION  
1401 K STREET, N.W.  
SUITE 200  
WASHINGTON, D.C. 20005

RECEIVED

AUG 29 2011

Invoice Number:  
Date:

Lj6080811  
176080811  
08/08/2011

The Democratic Governors' Association (DGA) was founded in 1933 to support the candidacy of Democratic Governors through its education. The DGA provides political strategic and financial assistance to its member state campaigns.

Federal Tax ID:  
52 - 1304 889

**CORPORATE AP**

Bill To: Duke Energy Corporation  
c/o  
P.O. Box 1015  
Charlotte, NC 28201-1015

Item:

2011 Charlotte Policy Conference Sponsorship

Amount:

\$100,000.00

Amount due to the

DEMOCRATIC GOVERNORS ASSOCIATION

\$100,000.00

Please make check payable to:

"DEMOCRATIC GOVERNORS ASSOCIATION"  
Federal Tax Identification 52 - 1304 889

Remit to:

ROSHAN PATEL  
DEMOCRATIC GOVERNORS' ASSOCIATION  
1401 K Street, N.W.  
Suite 200  
Washington, DC 20005

THANK YOU! If you have any questions, please contact:

Roshan Patel  
National Finance Director  
(202) 772-5626  
rpatel@dga.net

Lindsay Baker  
Events Director  
(202) 772-5623  
lbaker@dga.net

Frank Bergold  
Deputy Finance Director  
(202) 772-5622  
fbergold@dga.net

NET "0"

Pay Net 0

AUG 0 2011

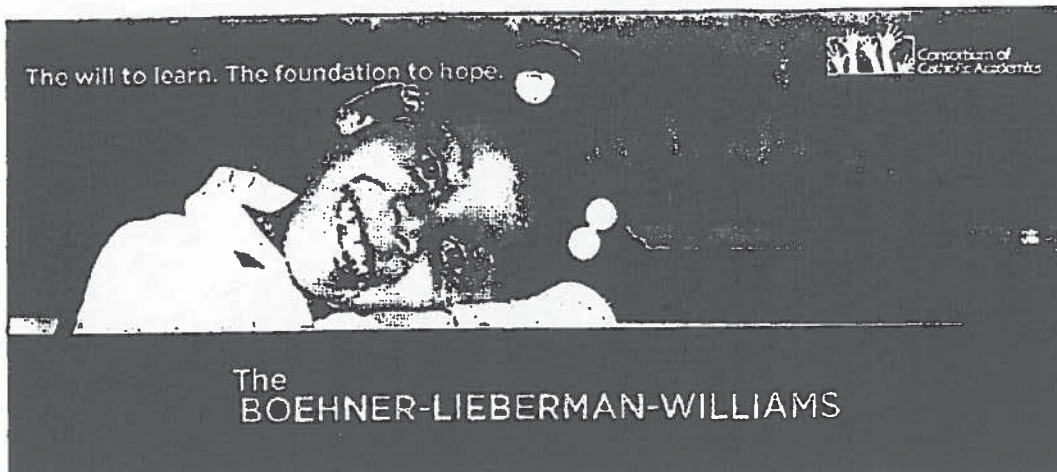
*James E. Rogers*



GOVT AFF  
NET 001

# THE BOEHNER-LIEBERMAN-WILLIAMS DINNER

Page 1 of 2



The Consortium of Catholic Academies is comprised of four inner-city elementary schools in Washington, D.C. Since 2003, this annual dinner has supported the Consortium in its mission to provide an outstanding education in safe, supportive environments to nearly 800 students of all faiths. We are deeply grateful for this support.

RECEIVED

SEP 15 2011

DUKE ENERGY

DATE: Wednesday, September 21, 2011

TIME: 6:30 P.M. Cocktails; 7:30 P.M. Dinner

LOCATION: Capital Hilton, 1001 16th Street, NW, Washington, DC

HONORARY Speaker John Boehner, Senator Joseph I. Lieberman & the Hon. Anthony A. Williams

HOSTS:

EVENT CHAIRMEN: John J. Castellani, President & CEO, PhRMA  
Christopher J. Nassetta, President & CEO, Hilton Worldwide  
John F. W. Rogers, Executive Vice President and Chief of Staff, Goldman Sachs & Co

SPONSOR LEVELS: Gold Sponsor - \$50,000

- One table (8 guests + VIP) with preferential seating
- 8 tickets to private pre-event reception
- Two (2) invitations to the Sponsor Appreciation Breakfast
- Acknowledgement in dinner invitation
- Prominent visibility on all printed dinner related materials
- Recognition during live program
- Highlighted as Gold Sponsor in dinner program

Silver Sponsor - \$25,000

- One table (8 guests + VIP)
- One (1) invitation to the Sponsor Appreciation Breakfast
- Prominent visibility on all program related materials
- Recognition during live program
- Highlighted as Silver Sponsor in dinner program

Bronze Sponsor - \$10,000

- One table (8 guests)
- Acknowledgement in event press release
- Highlighted as Bronze Sponsor in dinner program

~~\$1,000~~ Individual tickets

PAYMENT: Consortium of Catholic Academies (CCA)  
Attention: BLW Dinner  
5001 Eastern Avenue, Suite 207, Hyattsville, MD 20782

IMAGE  
ROUTE CODE:  
GOVT AFF

2011 SEP 16 PM 1 52

FEDERAL GOVERNMENTAL AFFAIRS

APPROVED BY: [Signature]  
DATE: 9/16/11

mhtml:file://F:\RHoffmann\Consortium of Catholic Academies\Invites\THE BOEHNER-L... 6/20/2011

AARE

**American Association of Blacks in Energy,  
Washington, DC Metropolitan Area Chapter**

P.O. Box 333  
Washington, DC 20044

TO [REDACTED]  
Duke Energy  
325 Seventh Street, NW  
Suite 300  
Washington, DC 20004

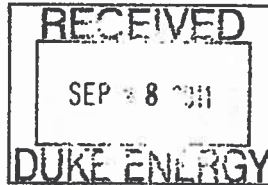


IMAGE  
ROUTE CODE:  
GOVTAFF

DESCRIPTION	AMOUNT
Contribution the Washington, DC Chapter Scholarship Fund to support students pursuing higher education	\$5,000.00
<b>SUBTOTAL</b>	<b>\$5,000.00</b>
<b>TOTAL</b>	<b>\$5,000.00</b>

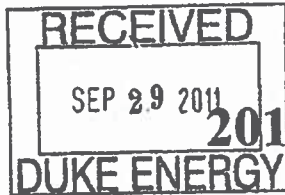
FEDERAL GOVERNMENTAL AFFAIRS  
APPROVED BY:

Please contact Sean Jamieson, Treasurer of the Washington, DC Metropolitan Area Chapter at [spj@vnf.com](mailto:spj@vnf.com) or (202) 298-1948, or Lisa Beal, Chairperson of the Scholarship Committee at [lbeal@ingaa.org](mailto:lbeal@ingaa.org) or (202) 216-5935

**THANK YOU FOR YOUR CONTRIBUTIONS!**

2011 SEP 29 PM 2 02

GOVTAFF



## 2011 Corporate Fund

The John F. Kennedy Center for the Performing Arts

CLARENCE BROADBENT

Duke Energy Corporation  
325 Seventh Street, NW, Suite 300  
Washington, DC 20004

IMAGE  
ROUTE CODE:  
GOVTAFF

### CONFIRMATION of PLEDGE to THE KENNEDY CENTER CORPORATE FUND

\$25,000 for the 2011 Corporate Fund Campaign

TOTAL PLEDGE: \$25,000

Pledge Confirmation:

BK Marshall

Signature

9-29-11

Date

BEVERLY K MARSHALL

Name (please print)

VP, Federal Affairs

Title

Please complete and return to:

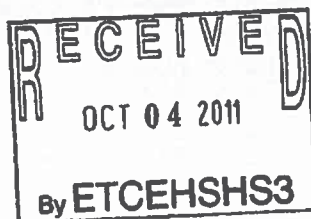
Kendall Brodarick  
Manager, Institutional Giving  
The Kennedy Center  
P.O. Box 101510  
Arlington, VA 22210

The Kennedy Center tax-identification number: 53-0245017  
For more information on the Corporate Fund, please call (202) 416-8060.

APPROVED BY  
DATE: 9/29/11  
FEDERAL AFFAIRS

RETURN FAX NUMBER: (202) 416-8097

2011 SEP 33 PM 7 38



2011 OCT 5 PM 2 03

# Invoice

Duke Energy  
 Mail Code ECO4  
 526 S. Church Street  
 PO Box 1006  
 Charlotte, NC 28201-1006

Invoice: 10786 09/30/2011  
 Due: USD8,316.00 10/30/2011  
 Account: 0002798

Edison Electric Institute Fall 2011 Occupational Safety & Health  
 Committee Conference (16018)

Start-End: Mon 09/26/2011 07:00 PM - 10:00 PM

## Department Summary

Catering	USD11,660.75
Miscellaneous	USD78.00
Space Rental	USD11,788.00

Order	Description	Duration	Tax	Units	Rate	Charges
<b>Catering</b>						
	Reception (09/26/2011 08:30 PM - 09:30 PM)			175.00 EA	USD2.95 / EA	USD516.25
0116537	Parmesan Crusted Artichoke Hearts			175.00 EA	2.95 / EA	516.25
	Mini Chicken Wellington			175.00 EA	2.95 / EA	516.25
	Asparagus and Ham Roulade			175.00 EA	2.95 / EA	516.25
	Sun-Dried Tomato & Goat Cheese Tartlets			125.00 PRS	4.95 / EA	618.75
	Vegetable Crudit� Display (per person)			2.00 EA	395.00 / EA	790.00
	Pepper Crusted Top Round of Beef			4.00 EA	210.00 / EA	840.00
	Oven Roasted Turkey			160.00 PRS	7.95 / EA	1,272.00
	Pasta Station			160.00 PRS	8.95 / EA	1,432.00
	Shrimp and Grits			160.00 PRS	6.95 / EA	1,112.00
	Dessert Station			60.00 EA	2.50 / EA	150.00
	Assorted Soft Drinks - Coke Products (Host)			26.00 EA	2.50 / EA	65.00
	Bottled Water - Dasani (Host)			264.00 EA	4.00 / EA	1,056.00
	Beer Domestic Bottle (Host)			101.00 EA	5.00 / EA	505.00
	Beer Import Bottle (Host)			125.00 EA	7.00 / EA	875.00
	House Wine by the Glass (Host)			4.00 EA	100.00 / EA	400.00
	Bartenders - 1 per 50			8.00 EA	60.00 / EA	480.00
	Chefs/Carvers/Attendants					
Total For Catering:						USD11,660.75

Miscellaneous

CBL100



# Invoice

Facility Copy

Invoice: 10786

Edison Electric Institute Fall 2011 Occupational Safety & Health  
Committee Conference (15018)

Start-End: Mon 09/26/2011 07:00 PM - 10:00 PM

Order	Description	Duration	Tax	Units	Rate	Charges
<b>Miscellaneous (Continued)</b>						
Monday, September 26, 2011 (09/26/2011 06:30 PM - 10:30 PM)						
0129068	HOST Parking NHOF deck			13.00 EA	USD6.00 / EA	USD78.00
<b>Total For Miscellaneous:</b>						<b>USD78.00</b>

<b>Space Rental</b>						
Space Charges & Statistics (09/26/2011 06:30 PM - 10:30 PM)						
0110870	NHOF - Great Hall			1.00 EA	USD6,000.00 / DAY	USD6,000.00
	09/26/2011 07:00 PM - 10:00 PM					
	NHOF Ticket Fees			300.00 EA	15.95 / EA	4,785.00
	Simulator Flat Fee			1.00 EA	1,000.00 / EA	1,000.00
<b>Total For Space Rental:</b>						<b>USD11,785.00</b>
<b>Total Services:</b>						<b>USD23,523.75</b>
NC Sales Tax, 10,780.75 @ 7.25%						781.60
Prep Food Tax, 10,780.75 @ 1.00%						107.81
Gratuity, 10,780.75 @ 19.00%						2,048.34
<b>Total Charges:</b>						<b>USD26,461.50</b>

<b>Previous Payments</b>						<b>Amount</b>
08/29/2011 Deposit EV-CHK 15018 - DEP 3						USD-5,892.50
07/29/2010 Deposit EV-CHK 15018 - DEP 1						-2,946.25
12/14/2010 Deposit EV-CHK 15018 - DEP 2						-2,946.25
09/12/2011 Deposit EV-CHK DUKE ENERGY-9/26/11						-6,380.50
<b>Total For Previous Payments :</b>						<b>USD-18,145.50</b>

## Invoice Summary

<b>Total Services:</b>	<b>USD23,523.75</b>
<b>Total Taxes:</b>	<b>USD2,937.75</b>
<b>Total Charges:</b>	<b>USD26,461.50</b>
<b>Total Payments:</b>	<b>USD-18,145.50</b>
<b>Total Amount Due:</b>	<b>USD8,316.00</b>

Remit To: Attn. Seth Denton  
NASCAR Hall of Fame  
400 East Martin Luther King Jr. Blvd.  
Charlotte, NC 28202

CEOSTAFF

CSIS

CENTER FOR STRATEGIC &  
INTERNATIONAL STUDIES

INVOICE

RECEIVED

OCT 28 2011

DATE: October 26, 2011

Center for Strategic & International Studies  
1800 K Street, N.W., Suite 400  
Washington, DC 20006  
Tel: (202) 887-0200 Fax: (202) 775-3199

CORPORATE AP

Bill To:

From:

Duke Energy Corporation  
550 South Tryon Street  
Mail Code DEC48B  
Charlotte, NC 28202

Ms. Johanna Nesseth  
Vice President, Strategic Planning  
Phone: 202-775-3205  
Fax: (202) 775-3199

NET "0"

DESCRIPTION	AMOUNT
Support of the US Nuclear Energy Project	\$25,000
TOTAL	\$25,000

Make all checks payable to:

Payments may be wire transferred to:

Center for Strategic & International Studies  
Attn: Johanna Nesseth  
VP for Strategic Planning  
1800 K Street, N.W., Suite 400  
Washington, DC 20006

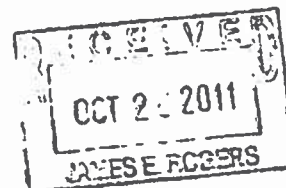
Wachovia  
ABA No. 054001220  
1800 K Street, NW  
Washington, DC 20006  
Payable to the order of CSIS  
Account No. 2000034046339  
Tax ID: 52-1501082

Internal Billing Information

Budget Code: 49016-12 (If Applicable)

Acct Code: 400685 (F&A Only)

*James E. Rogers*



Pay Net 0

1800 K STREET NW, WASHINGTON DC 20006 | P. 202.887.0200 F. 202.775.3199 | WWW.CSIS.ORG

CEO STAFF

# Invoice

Center for the National Interest Inc.

1615 L Street, NW  
Suite 1250  
Washington, DC 20036-5651

Date	Invoice #
11/1/2011	551

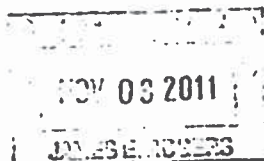
<b>Bill To</b>
Duke Energy 315 Seventh St. NW Ste. 300 Washington, DC 20004

**RECEIVED**  
NOV 04 2011  
**CORPORATE AP**

Quantity	Description	Rate	Amount
	Operating Support for 2011-2012	75,000.00	75,000.00
	Consulting Support for 2011-2012	30,000.00	30,000.00
<div style="border: 1px solid black; padding: 20px; text-align: center;"> <h2>NET "0"</h2> <p><i>Pay Net 0</i></p> </div>			

Please make checks payable to the Center for the National Interest. For questions about this invoice, call Kathryn Hartzell at 202-887-1000.  
CFTNI is a 501(c)(3) organization, TIN (26-2117013)

<b>Total</b>	\$105,000.00
<b>Payments/Credits</b>	\$0.00
<b>Balance Due</b>	\$105,000.00



*James E. Royer*



# INVOICE

**World Citizen Award Dinner**  
**Thursday, April 26<sup>th</sup>, 2012**

**Recipients**  
**Leon and Sandra Levin**

DATE: November 17<sup>th</sup>, 2011

TO: Duke Energy Corporation

**Description of Service:**

## WCAD 2012 Ambassador Level Sponsorship

**Total Amount Due:      \$ 20,000 (Please make check payable to "World Affairs Council of Charlotte")**

**Please remit to:**                      **World Affairs Council of Charlotte**  
**UNC Charlotte, 227 CHHS Building**  
**9201 University City Blvd.**  
**Charlotte, NC 28223**

THANK YOU!

Tax ID#: 58-1606811

# NET "0"

Pay Net O

*James E. Rogers*

UNC-Charlotte, 227 CHHS Building · 9201 University City Blvd. · Charlotte, North Carolina 28223

PHONE (704) 687-7762 • FAX (704) 687-4372

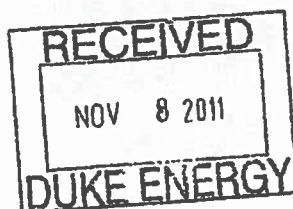
[www.worldaffairscharlotte.org](http://www.worldaffairscharlotte.org)

***"Providing Leadership For Global Thinking"***

Govt AFF



Congressional  
Black Caucus  
Foundation, Inc.



# Invoice

## BILL TO:

Duke Energy

Director

401 9th Street, NW, Suite 1100  
Washington, DC 20004  
513-659-4671  
John.Haysbert@duke-energy.com

Date: 10/4/2011  
Invoice Number: ALC12001  
Donor ID: 1886  
Requestor: PD

IMAGE  
ROUTE CODE:  
GOVTAFF

## CONGRESSIONAL BLACK CAUCUS FOUNDATION 2012 Overall Sponsorship

Fund ID	DESCRIPTION	QUANTITY	RATE	AMOUNT
Support12	Overall ALC Sponsorship	1	\$ 50,000.00	\$ 50,000.00
<p>Thank you for your Support! Payment due upon receipt.</p>				
			<b>TOTAL</b>	\$ 50,000.00
			<b>AMOUNT DUE</b>	\$ 50,000.00

Please mail check or money order to:

Congressional Black Caucus Foundation  
1720 Massachusetts Ave, NW  
Washington, DC 20036

Attn: Finance Dept.

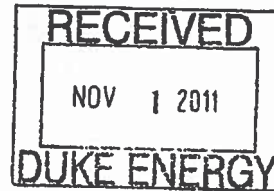
TAX ID NO: 52-1160561

GOVT AFF



## BIPAC ANALYSIS INVOICE

October 31, 2011



Duke Energy Corporation  
325 7th St NW Suite 300  
Washington, DC 20004

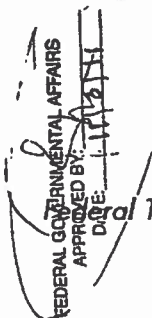
IMAGE  
ROUTE CODE:  
GOVT AFF

---

### INVOICE

Annual investment in BIPAC's Institute for Political Analysis \$5,000

December 2011 through November 2012



Federal Tax ID # is 13-1985476

Make checks payable to

**BUSINESS INSTITUTE FOR POLITICAL ANALYSIS**  
888 Sixteenth Street, NW, Washington, DC 20006

888 16<sup>th</sup> Street, NW, Suite 305 Washington, D.C. 20006-4103



CEO STAFF



**Congressional Hispanic  
Caucus Institute**

911 2nd Street, NE  
Washington, D.C. 20002  
202/543-1771 FAX 202/546-2143

DATE: August 15, 2011

Amount: \$20,000.00

**INVOICE #1714**

Duke Fenniv

401 9<sup>th</sup> Street, NW  
Suite 1100  
Washington, DC 20004

**RECEIVED**

DEC 20 2011

**CORPORATE AP**

**2011 Sponsorship**

34<sup>th</sup> Annual Awards Gala – Partner Level

\$ 20,000.00

**Balance Due & Payable:**

**\$ 20,000.00**

*Please make check payable to:*

**Congressional Hispanic Caucus Institute  
911 2nd Street, NE  
Washington, DC 20002**

**Federal Tax ID #: 52-1114225  
DUNS #112631452**

**NET "0"**

*We accept major credit cards – Visa, Mastercard, American Express & Discover*

**THANK YOU FOR YOUR SUPPORT!**  
Please return a copy of your invoice with your payment.

*PayNet 0*

*James E. Rogers*




CSSMPLC

**INVOICE**

**DECEMBER 08, 2011**

Federal ID Number: 58-0864888  
(770) 242-7712  
[parson@sseb.org](mailto:parson@sseb.org)

  
Duke Energy  
139 East Fourth Street, EA506  
Cincinnati, OH 45201

*Make Check Payable to the:*  
**Southern States Energy Board**  
6325 Amherst Court  
Norcross, Georgia 30092

PAYABLE UPON RECEIPT

Invoice Number: OFFSHORE-DUKE 2011-12

Description of Services or Products:	Amount
Contribution to prepare Oil, Gas & CO2 Infrastructure Study for the Carolina's	\$50,000
1 <sup>ST</sup> PAYMENT DUE 12/31/2011 ( \$25,000 )	
2 <sup>ND</sup> PAYMENT DUE 01/31/2011 \$25,000	
<b>Total</b>	<b>\$50,000</b>

  
Kenneth J. Nemeth  
Executive Director

**RECEIVED**  
DEC 09 2011  
**CORPORATE AP**

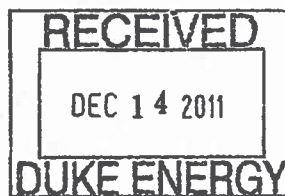
**Thank You for your Support!**



"Through innovations in energy and environmental programs and technologies, the Southern States Energy Board enhances economic development and the quality of life in the South."



GOVTAFF



Washington Empowered Against Violence  
1422 K Street NW  
Washington, DC 20005  
(202)- 452-9550

IMAGE  
ROUTE CODE:  
GOVTAFF

RECEIVED

DEC 16 2011

INVOICE

Date: August 31, 2011

To:  
Duke Energy  
Attn: \_\_\_\_\_

CORPORATE AP

Pledge Received 8/31/2011

Amount

Pledge made in support of Washington Empowered Against  
Violence's 15<sup>th</sup> Anniversary Gala on September 22<sup>nd</sup>, 2011.  
(Innovative Leaders Sponsorship Level)

\$5,000

TOTAL

\$5,000.00

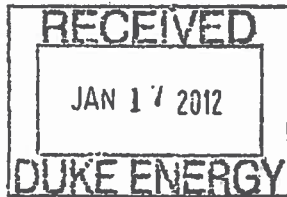
Make checks payable to: Washington Empowered Against Violence or WEAVE Inc.

WEAVE EIN: 52-1989376

If you have any questions concerning this invoice, contact Liz Harens, HR &  
Development Manager at 202-280-6396 or [liz@weaveincorp.org](mailto:liz@weaveincorp.org).

Thank you for your support!

GOVT AFF



**American Association of Blacks in Energy**  
1625 K Street, NW, Ste. 405  
Washington, DC 20006  
(202) 371-9530 \* aabe@aabe.org

**INVOICE**

**2012 AABE National Conference Contribution**

**For:** Duke Energy  
**ATTN:**  
**Billing Date:** January 17, 2012

IMAGE  
ROUTE CODE:  
GOVT AFF

Description	Total Due
2012 Annual Conference Sponsorship	\$25,000.00
<b>Total</b>	<b>\$25,000.00</b>

Please refer all billing questions to (202) 371-9530.

*AABE is a 501(3)(c) Non-profit Organization (#84-0782569)*

FEDERAL GOVERNMENTAL AFFAIRS  
APPROVED BY  
DATE: 1/17/12

2012 JAN 19 PM 7 32

CEOSTAFF



**DGA**  
DEMOCRATIC GOVERNORS  
ASSOCIATION

Invoice Number:

fb031312

Date:

03/13/2012

Bill To:

Duke Energy Corporation  
c/o  
P.O. Box 1015  
Charlotte, NC 28201-1015

**NET "0"**

The Democratic Governors Association (DGA) was founded in 1983 to support the candidacy of Democratic Governors throughout the nation. The DGA provides political, strategic, and financial assistance to Gubernatorial campaigns.

Federal Tax ID:

52 - 1304 889

Item:

Amount:

Charlotte Policy Conference Sponsorship	\$200,000.00
Amount due to the DEMOCRATIC GOVERNORS ASSOCIATION	\$200,000.00

Please make check payable to:

**"DEMOCRATIC GOVERNORS ASSOCIATION"**  
Federal Tax Identification 52 - 1304 889

Remit to:

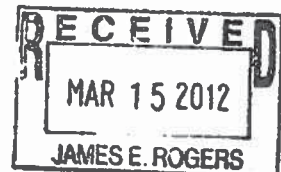
**ROSHAN PATEL**  
**DEMOCRATIC GOVERNORS ASSOCIATION**  
1401 K Street, NW  
Suite 200  
Washington, DC 20005

THANK YOU! If you have any questions, please contact:

**Roshan Patel**  
National Finance Director  
(202) 772-5626  
[patel@dga.net](mailto:patel@dga.net)

**Frank Bergold**  
Deputy Finance Director  
(202) 772-5622  
[bergold@dga.net](mailto:bergold@dga.net)

*Pay Net 0*

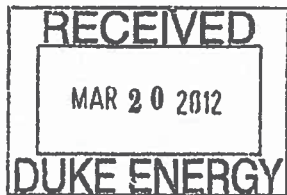


2012 MAR 19 AM 7 50

Form Revised 2012

Corporate Contribution Invoice DGA\_INV-01

GOVT AFF



INVOICE

IMAGE  
ROUTE CODE:  
GOVT AFF

Duke Energy Corp.  
325 Seventh Street, NW #300  
Washington, DC 20004

Date	Description	Amount
03.20.2012	2012 CHC Annual Gala Table Sponsor	\$10,000.00
Total Due		\$10,000.00

2012 MAR 22 PM 7 37

FEDERAL GOVERNMENT AFFAIRS  
APPROVED BY: *[Signature]*  
DATE: 3/24/12

National Republican Club of Capitol Hill  
300 First Street, SE  
Washington, DC 20003  
Phone: 202.484.4590 Fax: 202.479.9110

---

**Exhibit WBM-3**

**Response to NC WARN Data Requests 1-86 through  
1-88**

**DUKE ENERGY CAROLINAS, LLC**  
**Response to NC WARN Request**  
**WARN 1-86 thru 88**

**Docket No. E-7, Sub 1026**

**Date of Request: 4/17/13**  
**Response Dated: 6/3/13**

**CONFIDENTIAL:** \_\_\_\_\_ **Yes**  
*(Provided Pursuant to Confidentiality Agreement)*

\_\_\_\_\_ **x** \_\_\_\_\_ **No**

**The attached response was consolidated and prepared under my supervision.**

Financial Planning & Analysis  
**Name**

**Title**

550 S Tryon St. Charlotte, NC  
**Business address**

## **WARN 1-86**

**Request:** Please identify all advertising expenses by FERC account charged to ratepayers as a test year expense or an adjustment to test year expense. Identify and provide the cost and a brief description of any individual advertising programs costing in excess of \$25,000. For any individual programs in excess of \$100,000, please provide samples of print advertisements and/or transcripts of radio or TV advertisements.

**Response:** Please see file attached: NC WARN 86 Follow-up.xlsx

Please see responses provided to PS 25-1 & 2 and NCSEA Interrogatory #4 copies of print advertisements and radio or TV advertisements.

## **WARN 1-87**

**Request:** Please provide written documentation showing how Duke Energy Carolinas determines which advertising expenditures should be charged to ratepayers and which advertising expenditures should not be charged to ratepayers. Explain how that information is disseminated to relevant employees.

**Response:** See attached files below:

**Attachment 1 - FERC Charging Guidance Advertising Bill Inserts Donations Sponsorships.xlsx**

**Attachment 2 - Advertising Accounting 2012.pptx**

**Attachment 3 - Advertising Account Codes One-pager Leave Behind.pdf**

## **WARN 1-88**

**Request:** Please provide documentation showing how Duke Energy Carolinas determines which advertising expenditures fall under Account 909 and its subaccounts, versus 930.1 and its subaccounts. Explain how that information is disseminated to relevant employees.

**Response:** See files provided in response to NC WARN 1-88.

## FERC CHARGING GUIDANCE - ADVERTISING, BILL INSERTS, DONATIONS & SPONSORSHIPS

### ADVERTISING FOR ALL AREAS EXCEPT RCS & COMMERCIAL BUSINESS must be charged to the accounts listed below relative to the type of advertisement.

ACCOUNT DERIVED FROM PROCESS	ADVERTISING DESCRIPTION	PROCESS
0909650	Informational & Instructional; Health & Safety related; Provide Environmental Protection & Conservation Encouragement	ADVHS
0913001	Promoting or Retaining Service; Sales related advertising excluding the sale of merchandise	ADVPRO
0930150	Corporate in Nature, promote Good Will, Improve image, Cost of Service & Other General advertising	ADVCORP
0426400	Political in nature	ADVPOL
0426510	Non-Recoverable, classified as "Below the Line"	ADVNR

### BILL INSERTS - Require the process value of BINSERT & the accounts indicated below based upon the type of insert.

ACCOUNT REQUIRED	PROCESS REQUIRED	BILL INSERT DESCRIPTION
0909650	BINSERT	Informational & Instructional; Health & Safety related; Provide Environmental Protection & Conservation Encouragement
0913001	BINSERT	Promoting or Retaining Service; Sales related advertising excluding the sale of merchandise
0930150	BINSERT	Corporate in Nature, promote Good Will, Improve image, Cost of Service & Other General advertising
0426400	BINSERT	Political in nature
0426510	BINSERT	Non-Recoverable, classified as "Below the Line"

### ADVERTISING FOR RETAIL CUSTOMER SERVICE MARKETING PROGRAMS – Require the account & process (for energy efficiency / SAW programs) below in addition to the other codeblock provided by the product manager.

Account Required	Process Required	Operating Unit	Business Unit	DESCRIPTION	Products
0557000	EEADV	NENC	20049	DP North Carolina	Save A Watt
0557000	EEADV	NESC	20049	DP South Carolina	Save A Watt
0557000	EEADV	NEOH	75024	DE Ohio Electric	Save A Watt
0182401	EEADV	NGOH	75027	DE Ohio Gas	Energy Efficiency
0182401	EEADV	NEKY	75085	DE Kentucky Electric	Energy Efficiency
0182401	EEADV	NGKY	75087	DE Kentucky Gas	Energy Efficiency
0182401	EEADV	NEIN	75116	DE Indiana	Energy Efficiency
0417320	NRADV	ALL	ALL	ALL	Non-Reg products
0913001	ADVPRO	ALL	ALL	Appropriate jurisdiction	Standard/regulated products

### COMMERCIAL BUSINESS

ACCOUNT DERIVED FROM PROCESS	PROCESS	ADVERTISING DESCRIPTION
0909650	ADEHS	Informational & Instructional; Health & Safety related; Provide Environmental Protection & Conservation Encouragement
0913001	ADPROMO	Promoting or Retaining Service; Sales related advertising excluding the sale of merchandise
0930150	ADCORP	Corporate in Nature, promote Good Will, Improve image, Cost of Service & Other General advertising
0426400	ADPOLIT	Political in nature
0426510	ADNOREC	Non-Recoverable, classified as "Below the Line"

### DONATIONS & SPONSORSHIPS - Require the accounts indicated below.

ACCOUNT REQUIRED	DONATION & SPONSORSHIP DESCRIPTION
0426100	Donations for charitable, social or community welfare purposes
0426400	Expenditures that are for civic, political & related activities
0426400	Sports related sponsorships





# ADVERTISING ACCOUNTING

Programs and  
Process Training

## Getting it right

Get a handle on the accounting process for advertising – and stay out of trouble

2012

## What's "advertising"?

- Not just print ads – most everything we do
- Producing and distributing marketing material
- Print
- Radio and TV
- Web
- E-mail
- Postage
- Promotional or political

## Why should I care?

- By tracking advertising expenses, we can recover them in our rates
- If we don't get it right...
  - We can't collect on our investment
  - The commission can fine the company – potentially in the \$millions

What do I have to do?

- Enter correct **advertising** account numbers
- Double-check clients' numbers for accuracy
- Do not copy old accounting because there have been changes

## Two simple questions

- Who's paying?
- What's it for?

Not-so-simple process!

## Step 1: Who's paying?

- Get the correct accounting codes from client
  - Business Unit
  - Operating Unit
  - Responsibility Center
- If they don't know the numbers, they need to consult their financial guru

## Step 2: What's it for?

- To answer that question, you need:
  - Process code
  - Account number
- These vary by client and by deliverable

## Retail Customer Product & Services

Use these codes for all work associated with energy efficiency, non-regulated and standard products and services.

When Promoting	Use process code	And this account number
Save-a-watt / Energy Efficiency (all states)	EEADV	0557000
Non-regulated products (all states)	NRADV	0417320
Standard / regulated products (all states)	ADVPRO	0913001

The account 018



## Commercial Businesses

DER, DEGS, DEI, etc.

### Commercial Businesses

If the advertising/promotion is	Use this process number	Which will automatically generate this account number
<ul style="list-style-type: none"> <li>• Health and safety related information and/or instructional materials</li> <li>• Encouraging environmental protection and conservation</li> </ul>	ADEHS	0909650
<ul style="list-style-type: none"> <li>• Promoting or retaining service</li> <li>• Sales related (except for merchandise)</li> </ul>	ADPROMO	0913001
<ul style="list-style-type: none"> <li>• Corporate in nature</li> <li>• Promoting good will</li> <li>• Improving corporate image</li> <li>• Cost-of-service related</li> <li>• Other general advertising</li> </ul>	ADCORP	0930150
<ul style="list-style-type: none"> <li>• Political in nature</li> </ul>	ADPOLIT	0426400
<ul style="list-style-type: none"> <li>• Non-recoverable (classified as "below the line")</li> </ul>	ADNOREC	0426510